



Club Compliance Framework

December 2016

Greyhound Racing NSW

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Club Compliance Framework

This framework provides guidance for managing clubs that fail to comply with the registration requirements outlined in the GRNSW Club Registration Policy, in particular, the GRNSW Operating Standards & Guidelines for NSW Greyhound Racing Clubs.

While it is important to remember that a breach of GRNSW's Club Registration Policy may lead to a club's deregistration, in practice GRNSW will seek to work with clubs to obtain compliance wherever this can be achieved.

It should be noted that the aim of this framework is not to unfairly prosecute clubs, it is to provide GRNSW with a fair and consistent framework for ensuring continued compliance.

Should a first breach occur, GRNSW will write to the club concerned, advising them of the issue. The club will then have the right of response to both defend and rectify the situation. External issues which are out of the club's direct control (such as unexpected technology failures etc.) will be taken into account if they are identified as the cause of the breach or potential breach.

Please note however, that whilst every club has a right to dispute a breach or potential breach, and GRNSW has an obligation to consider any dispute, it may not alter the outcome of GRNSW's decision when applying the compliance framework.

GRNSW aims to be consistent in the application of sanctions and this framework details the action GRNSW expects to take in the situations described. There may however, be particular occasions when variations from the sanction indicated may be appropriate, any such exceptions will be reviewed by GRNSW and used to update this framework as necessary.

Definitions

Ongoing Financial Distress:

If GRNSW has been in continuous contact with a club for a period of three (3) months or more with concerns over any of the following financial measures, it is deemed that the club is in 'ongoing financial distress':

- Working capital issues – Current Assets / Current Liabilities;
- Cash flow issues;
- Reoccurring operating deficits; and
- Solvency issues – Total Liabilities (excluding IFIL's) / Total Assets.

Required Staff for a Race Meeting:

Below is a list of the staff required at a greyhound race meeting in NSW:

- Secretary/Manager/Race Day Secretary;
- Club or GRNSW Steward;
- Track Curator;
- Judge;
- Photo Finish Operator;
- First Aid Officer;
- Vet;
- Kennel Supervisor;
- Starter; and
- Lure Driver.

Business Area – Operating Standards

Breach or Potential Breach	First Offence	Timeline	Second Offence or Continued Non-Compliance	Timeline	Third Offence or Continued Non-Compliance
Failure to submit any Operating Standard on time – including racing statistics	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter • Additional 14 days to correct 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for a maximum of 2 months before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to submit Audited Financials on time	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter • Additional 30 days to correct 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for a maximum of 2 months before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Ongoing financial distress	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter • Additional 90 days to show improvement 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals 	Penalty imposed for a maximum of 2 months before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration

Business Area – Racing & Integrity

Breach or Potential Breach	First Offence	Timeline	Second Offence or Continued Non-Compliance	Timeline	Third Offence or Continued Non-Compliance
Failure to submit race results correctly on 2 separate occasions within a six 6 month period	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter • Failure must be corrected at next race meeting 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Incorrect weight recording in Finish Lynx on 2 separate occasions within a six (6) month period / failure to enforce Greyhound Racing Rules	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter • Failure must be corrected at next race meeting 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to have required staff on course for race meeting (i.e. qualified first aid officer, judge, etc.)	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to supply GRNSW with all race day documents (club steward papers) within 24 hours of race meeting via fax or email	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 7 days to respond to letter 	<ul style="list-style-type: none"> • No race date changes • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration

Business Area – Welfare

Breach or Potential Breach	First Offence	Timeline	Second Offence or Continued Non-Compliance	Timeline	Third Offence or Continued Non-Compliance
Failure to have a Vet on course for race meeting (provided that the supply of an on course vet is the club's responsibility)	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • Immediate 6 month suspension of Registration 		<ul style="list-style-type: none"> • Deregistration
Failure to provide the On Course Vet with adequate time to fulfil their race day obligations following the completion of a race meeting	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to ensure the return of the Veterinary Examination Detail Forms of greyhounds examined by the Vet after each race meeting. <i>(for clubs not serviced by GRNSW Vets)</i>	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals / Administration Payments 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to entirely complete and submit the Monthly Public Trialling Incident and Injury reports within one week following the completion of a month	Formal warning letter to Club Secretary / Manager and Club Committee Members	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals / Administration Payments • Potential suspension of Trial Sessions 	Penalty imposed for maximum of 1 month before next escalating to sanction	<ul style="list-style-type: none"> • Suspension of Registration

Business Area – Operations, Track Maintenance – TAB CLUBS ONLY

Breach or Potential Breach	First Offence	Timeline	Second Offence or Continued Non-Compliance	Timeline	Third Offence or Continued Non-Compliance
Failure to adequately complete and submit the Weekly Track Maintenance reports	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 7 days to respond to letter • Report to be corrected within 7 days of letter being issued 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments 	Penalty imposed for a maximum of 1 month before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to submit the Pre Race Meeting Track Assessment Form or Comply with the Pre Race Meeting Process	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 7 days to respond to letter • Report to be corrected within 7 days of letter being issued 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments 	Penalty imposed for a maximum of 1 month before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to complete the Daily Maintenance Diary	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 14 days to respond to letter • Report to be corrected within 14 days of letter being issued 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments 	Penalty imposed for a maximum of 1 month before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure of club representatives to attend GRNSW Regional Curator Forums or the Annual Curator Conference	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 14 days to respond to letter 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments 	Penalty imposed for a maximum of 1 month before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration

Breach or Potential Breach	First Offence	Timeline	Second Offence or Continued Non-Compliance	Timeline	Third Offence or Continued Non-Compliance
Failure to follow and document Exit Report Procedures	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 14 days to respond to letter • Additional 14 days to correct – all costs will be the responsibility of the club • Racing may be suspended until the issue is rectified 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments • Potential suspension of racing activity 	Penalty imposed for a maximum of 2 months before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to submit specific information upon request.	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 7 days to respond to letter • Additional 7 days to correct 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments 	Penalty imposed for a maximum of 2 months before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration
Failure to comply with the Sand Procurement or Infrastructure Approval Process	Formal warning letter to Club Secretary/ Manager/ Curator	<ul style="list-style-type: none"> • 14 days to respond to letter • Additional 14 days to correct – all costs will be the responsibility of the club • Racing may be suspended until the issue is rectified 	<ul style="list-style-type: none"> • Suspension of infrastructure approvals/ Administration Payments • Potential suspension of racing activity 	Penalty imposed for a maximum of 2 months before next escalating sanction	<ul style="list-style-type: none"> • Suspension of Registration